

Case Docket No. SAEG116.002AUS

Date: October 6, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :

HAYASHI, et al.

Appl. No.

10/618,491

Filed

July 11, 2003

For

METHOD FOR PRODUCING

DIOL DERIVATIVES

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 6, 2003 (Date)

Katsuhiro Arai, Reg. No. 43,315

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) A copy of the International Search Report issued on March 7, 2001.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai

Registration No. 43,315

Agent of Record

Customer No. 20,995

(949) 760-0404

OCT 0 8 2003

INFORMATION DISCLOSURE STATEMENT

Applicant

HAYASHI, et al.

App. No.

10/618,491

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Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four references that are also enclosed.

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: October 6, 2003

By:

Katsuhiro Arai

Registration No. 43,315

Agent of Record

Customer No. 20,995

(949) 760-0404

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICATION NO. 10/618,491	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 0 8 2003	APPLICANT HAYASHI, et al.		
(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE July 11, 2003	GROUP Unknown	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,859,349	01/07/75	Nicholas Francis Cody			
	2						
	3						
	4						

FOREIGN PATENT DOCUMENTS								
EXAMINER	NER	DOCUMENT NUMBER DATE	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	5	WO 99/19378	04/22/99	WIPO				
	6	WO 01/72736	10/04/01	WIPO			-	
	7	EP 1 273 579 A1	01/08/03	Europe				
	8							
	9							
	10							
	11							

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	12		
	13		
	14		
	15		
	16		

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EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.